IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Shinji TANAKA

Serial No.

Not Yet Known

Int'l Appl'n No.: PCT/JP2003/011851

Date Filed

Concurrently Herewith

Int'l Filing Date: 17 September 2003

For

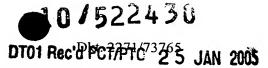
HEAD DRIVE CONTROL DEVICE AND INKJETJ RECORDING

DEVICE

Page 3 of Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Submission Under 35 U.S.C. 371

20. Other items or information:

cover page of PCT Publication No. WO 2004/026582 A1, copy of PCT Request, copy of Notification Of Transmittal Of The International Search Report Or The Declaration, copy of Notification Concerning Submission Or Transmittal Of Priority Document, copy of PCT Demand Under Chapter II, copy of Written Opinion, copy of Notice Informing The Applicant Of The Communication Of The International Application To The Designated Offices and copy of Notification Of Transmittal Of International Preliminary Examination Report.



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Mail Stop PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 ATTN: DO/EO/US

Sir:

EXPRESS MAIL LETTER OF TRANSMITTAL

Express Mail mailing label number: <u>EV487073883US</u>

Date of Deposit: January 25, 2005

I hereby certify that the above-identified new application, consisting of three pages of the Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (in duplicate), a copy of the International Application as filed [including 44-page specification with claims 1-10, Abstract and 10 sheets of formal drawings (Figs. 1-15)], Declaration And Power Of Attorney (3 pages), Assignment and Recordation Cover Sheet (3 pages total), Information Disclosure Statement (including Form PTO-1449 and copies of references), cover page of PCT Publication No. WO 2004/026582 A1, copy of PCT Request, copy of Notification Of Transmittal Of The International Search Report Or The Declaration, copy of Notification Concerning Submission Or Transmittal Of Priority Document, copy of PCT Demand Under Chapter II, copy of Written Opinion, copy of Notice Informing The Applicant Of The Communication Of The International Application To The Designated Offices, copy of Notification Of Transmittal Of International Preliminary Examination Report, a check in the amount of \$1,240.00 and return stamped postcard, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ATTN: DO/EO/US

Mail Room Employee

Depositing Express Mail Material

Attorneys for Applicant: IVAN S. KAVRUKOV, Reg. No. 25,161 PAUL TENG, Reg. No. 40,837 Cooper & Dunham LLP 1185 Avenue of the Americas New York, N.Y. 10036 Tel: (212) 278-0400

DT07 Rec'd PCT/PTO 2 5 JAN 2005

PTO-1390 (Rev. 12-2004) Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTTORNEY'S DOCKET NUMBER 2271/73765 U.S. APPLICATION NO.41 known, see 37 CFR 1.5)

				<u> </u>	U					
"PET7" P2003701185	ΙΝΟ. 17	TERNATIONA Septemb	L FILING DATE er 2003	PRIORITY DATE CLAIMED 19 September 2002						
TITLE OF INVENTION HEAD DRIVE CONTR	OL DEVICE ANI) INKJET	RECORDING	DEVICE						
APPLICANT(S) FOR DO/EO/US Shinji TANAKA										
	the United States De	signated/Elec	cted Office (DO/EC	D/US) the following items and other in	formation:					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1.										
2. This is a SECOND or S	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4. The US has been elect	The US has been elected (Article 31).									
5. X A copy of the Interna	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
a. 🔀 is attached	a. is attached hereto (required only if not communicated by the International Bureau).									
b. has been c	b. has been communicated by the International Bureau.									
c. is not requi	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. An English language	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
a. is attached	a. is attached hereto.									
b. 🔲 has been p	b. has been previously submitted under 35 U.S.C. 154(d)(4).									
7. Amendments to the c	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
a. are attach	a. are attached hereto (required only if not communicated by the International Bureau).									
b. have beer	b. have been communicated by the International Bureau.									
c. have not b	een made; however, the	time limit for r	naking such amendn	nents has NOT expired.						
d. have not b	een made and will not b	e made.								
8. An English language	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. X An oath or declaration	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below concern document(s) or information included:										
11. X An Information Disclo	sure Statement under 3	7 CFR 1.97 an	d 1.98.							
12. X An assignment docur	nent for recording. A ser	parate cover sh	eet in compliance wi	ith 37 CFR 3.28 and 3.31 is included.						
13. A preliminary amend	A preliminary amendment.									
14. An Application Data S	Sheet under 37 CFR 1.7	6.								
· ·	A substitute specification.									
16. X A power of attorney a	A power of attorney and/or change of address letter.									
17. A computer-readable	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18. A second copy of the	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19. A second copy of the	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20. X Other items or informa	Other items or information: See attached Page 3									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

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PTO-1390 (Rev. 12-2004)
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U.S. APHICATION NO. (Inknown, sees) 10FR 1.5)		PCT/JP2003/0		2271/73765					
21. The followi	ng fees are submitted:								
X a) Basic na	ational fee	\$ 300.00							
X b) Examin	ation fee	\$ 200.00							
x c) Search f	fee	\$ 500.00							
	TOTAL OF ABOVE CA	\$1,000.00							
L- listing or co		ed in an elec	in paper over 100 sheets (ex stronic medium). The fee is \$2						
Total Sheets			of each additional 50 or fractio (round up to a whole number)						
- 100 =	/50 =		x \$250.00		\$				
	30.00 for furnishing the ordate (37 CFR 1.492(e)).	\$							
CLAIMS	NUMBER FIL	LED	NUMBER EXTRA	RATE	\$				
Total claims	Total claims 10 -		0	x \$50.00	\$ O				
Independent claims 4		- 3 =	1	× \$200.00	\$ 200.00				
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$				
		\$1,200.00							
Applicant of by 1/2.	laims small entity status.	See 37 CFR	R 1.27. The fees indicated abo	ve are reduced	\$				
		\$1,200.00							
	of \$130.00 for furnishing thate (37 CFR 1.492(f)).	\$							
		\$1,200.00							
	g the enclosed assignment e cover sheet (37 CFR 3.	\$ 40.00							
•		\$1,240.00							
					Amount to be refunded:	\$			
					Amount to be charged:	\$			
a. X A chec	ck in the amount of \$ 1,	240.00	to cover the above	e fees is enclosed.					
a. A check in the amount of \$ 1,240.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. X The Co	ommissioner is hereby au ont No. <u>03–31 2</u> 5A du	thorized to cl uplicate copy	harge any additional fees which	ch may be required	, or credit any overpaymen	t to Deposit t			
			RNING: Information on this for		ıblic. Credit card informat	ion should not			
	n appropriate time limit restore the Internationa		FR 1.495 has not been met, n to pending status.	a petition to reviv	re (37 CFR 1.137(a) or (b))	must be filed			
SEND ALL COR	RESPONDENCE TO:			0	n D				
Custome	r Number 2343	kus							
Ivan S.	Kavrukov, Es								
	& Dunham LLP	ng ()							
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	k, New York 1 212) 278-0400	ION NUMBER							
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